

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



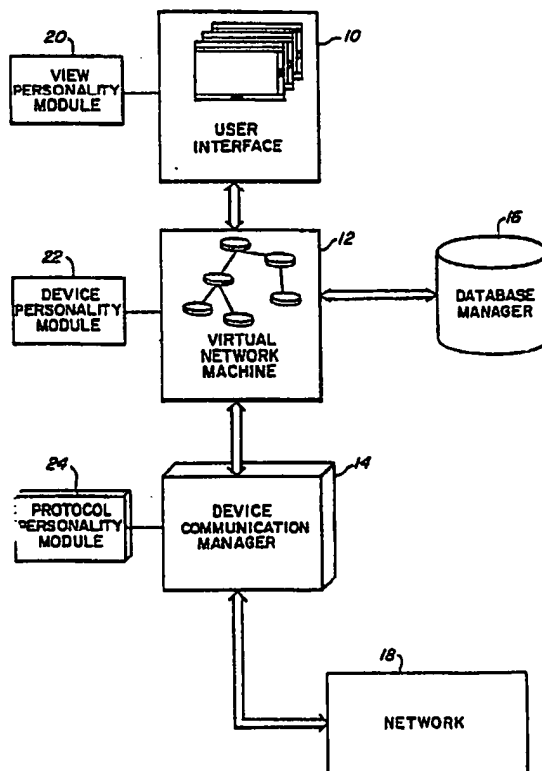
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>5</sup> : <b>G06F 9/46, 15/16</b>	A2	(11) International Publication Number: <b>WO 92/05485</b> (43) International Publication Date: <b>2 April 1992 (02.04.92)</b>
(21) International Application Number: <b>PCT/US91/06725</b> (22) International Filing Date: <b>17 September 1991 (17.09.91)</b> (30) Priority data: <b>583,509 17 September 1990 (17.09.90) US</b> (71) Applicant: <b>CABLETRON SYSTEMS, INC. [US/US]; 35 Industrial Way, Rochester, NH 03867 (US).</b> (72) Inventors: <b>DEV, Roger, H. ; 64 Bagdad Road, Durham, NH 03824 (US). EMERY, Dale, H. ; 27 Tall Pine Road, Berwick, ME 03901 (US). RUSTICI, Eric, S. ; 1 Wyandot Circle, Londonderry, NH 03053 (US). BROWN, Howard, M. ; 19 Morning Dove Lane, Rochester, NH 03867 (US). WIGGIN, Dwayne, S. ; 11 Country Ridge Park, Rochester, NH 03867 (US). GRAY, Eric, W. ; 832 Clay Street, Manchester, NH 03103 (US). SCOTT, Walter, P. ; 6 Linsing Drive, Salem, NH 03079 (US).</b>		(74) Agent: <b>McCLELLAN, William, R.; Wolf, Greenfield &amp; Sacks, 600 Atlantic Avenue, Boston, MA 02210 (US).</b>  (81) Designated States: <b>AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).</b>  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>

(54) Title: **NETWORK MANAGEMENT SYSTEM USING MODEL-BASED INTELLIGENCE**

(57) Abstract

A network management system includes a user interface, a virtual network and a device communication manager. The virtual network includes models which represent network entities and model relations which represent relations between network entities. Each model includes network data relating to a corresponding network entity and one or more inference handlers for processing the network data to provide user information. The system performs a fault isolation technique wherein the fault status of a network device is suppressed when it is determined that the device is not defective. User displays include hierarchical location views and topological views of the network configuration. Network devices are represented on the displays by multifunction icons which permit the user to select additional displays showing detailed information regarding different aspects of the corresponding network device.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MN	Mongolia
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CI	Côte d'Ivoire	LI	Liechtenstein	SU <sup>+</sup>	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
DE <sup>*</sup>	Germany	MC	Monaco	US	United States of America
DK	Denmark				

<sup>+</sup> Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

NETWORK MANAGEMENT SYSTEM USING  
MODEL-BASED INTELLIGENCE

Field of the Invention

This invention relates to systems for management of computer networks and, more particularly, to network management systems which utilize interrelated, intelligent models of network entities to form a virtual network.

Background of the Invention

Computer networks are widely used to provide increased computing power, sharing of resources and communication between users. Computer systems and computer system components are interconnected to form a network. Networks may include a number of computer devices within a room, building or site that are interconnected by a high speed local data link such as local area network (LAN), token ring, Ethernet, or the like. Local networks in different locations may be interconnected by techniques such as packet switching, microwave links and satellite links to form a world-wide network. A network may

- 2 -

include several hundred or more interconnected devices.

In computer networks, a number of issues arise, including traffic overload on parts of the network, optimum placement of network resources, security, isolation of network faults, and the like. These issues become more complex and difficult as networks become larger and more complex. For example, if a network device is not sending messages, it may be difficult to determine whether the fault is in the network device itself, the data communication link or an intermediate network device between the sending and receiving network devices.

Network management systems have been utilized in the past in attempts to address such issues. Prior art network management systems typically operated by remote access to and monitoring of information from network devices. The network management system collected large volumes of information which required evaluation by a network administrator. Prior art network management systems place a tremendous burden on the network administrator. He must be a networking expert in order to understand the implications of a change in a network device parameter. The administrator must also understand the topology of each section of the network in order to understand what may have caused the change. In addition, the administrator must sift through reams of information and false alarms in order to determine the cause of a problem.

- 3 -

It is therefore desirable to provide a network management system which can systematize the knowledge of the networking expert such that common problems can be detected, isolated and repaired, either automatically or with the involvement of less skilled personnel. For a system must have certain characteristics in order to achieve this goal. The system must have a complete and precise representation of the network and the networking technologies involved. It is insufficient to extend prior art network management systems to include connections between devices. A network is much more than the devices and the wires which connect them. The network involves the network devices, the network protocols and the software running on the devices. Without consideration of these aspects of the network, a model is incomplete. A system must be flexible and extendable. It must allow not only for the modeling of new devices, but must allow for the modeling of new technologies, media applications and protocol. The system must provide a facility for efficiently encapsulating the expert's knowledge into the system.

It is a general object of the present invention to provide improved methods and apparatus for managing networks.

It is another object of the present invention to provide network management systems which utilize models of network entities and interrelationships between network entities.

- 4 -

It is a further object of the present invention to provide network management systems which utilize model-based intelligence to represent a physical network.

It is yet another object of the present invention to provide network management systems wherein models of network entities encapsulate data and intelligence relating to the network entity.

#### Summary of the Invention

According to the present invention, these and other objects and advantages are achieved in a method and apparatus for managing networks. A system for use with a computer network comprises a virtual network including a plurality of models for representing network entities, each model containing network data relating to a corresponding network entity and means for processing the network data to provide user information. The virtual network further includes model relations representing relations between the network entities. The system also includes means for transferring network data from the network entities to the corresponding models in the virtual network and means for supplying the user information from the virtual network to a user.

The system of the present invention employs model-based intelligence to create a representation of an entire network. The models represent network devices, geographical locations of network devices,

topological groupings of network devices, software applications being executed on the network devices or any other network entity for which a management function is to be performed. The model relations define both network connections between network devices and hierarchical relationships between network entities.

The virtual network includes means for polling selected network devices and updating the network data in the corresponding models. The polling is performed at intervals which can be different for different network devices.

The models are implemented as software objects containing both data relating to the corresponding network entity and one or more inference handlers for processing the data. The inference handlers are triggered by predetermined virtual network events such as a change in specified network data in the same model, a change in specified network data in a different model, predefined events or changes in models or model relations. Information pertaining to the condition of a network entity can be obtained from the network entity by polling or can be inferred from data contained in other models. An alarm condition is generated when the network data meets a predetermined criteria. Events, alarms and statistical information from the virtual network are stored in a database and are selectively displayed for the user.

### Brief Description of the Drawings

For a better understanding of the present invention, together with other and further objects, advantages and capabilities thereof, reference is made to the accompanying drawings which are incorporated herein by reference and in which:

FIG. 1 is a block diagram of a network management system in accordance with the invention;

FIG. 2 is a block diagram showing an example of a network;

FIG. 3 is a schematic diagram showing the structure of models and the relations between models;

FIG. 4 is a block diagram showing a portion of the representation of the network of FIG. 2 in the virtual network machine;

FIG. 5 is a flow chart illustrating an example of operation of the virtual network machine;

FIG. 6 is a flow chart of a fault isolation technique in accordance with the present invention;

FIGS. 7A-7C show examples of location display views provided by the network management system;

FIGS. 8A and 8B show examples of topological display views provided by the network management system;

FIG. 9 is a schematic diagram of a multifunction icon employed in the user display views; and

FIG. 10 shows an example of an alarm log display provided by the network management system.



- 7 -

Detailed Description of the Invention

A block diagram of a network management system in accordance with the present invention is shown in FIG. 1. The major components of the network management system are a user interface 10, a virtual network machine 12, and a device communication manager 14. The user interface 10, which may include a video display screen, keyboard, mouse and printer, provides all interaction with the user. The user interface controls the screen, keyboard, mouse and printer and provides the user with different views of the network that is being managed. The user interface receives network information from the virtual network machine 12. The virtual network machine 12 contains a software representation of the network being managed, including models that represent the devices and other entities associated with the network, and relations between the models. The virtual network machine 12 is associated with a database manager 16 which manages the storage and retrieval of disk-based data. Such data includes configuration data, an event log, statistics, history and current state information. The device communication manager 14 is connected to a network 18 and handles communication between the virtual network machine 12 and network devices. The data received from the network devices is provided by the device communication manager to the virtual network machine 12. The device communication manager 14 converts

- 8 -

generic requests from the virtual network machine 12 to the required network management protocol for communicating with each network device. Existing network management protocols include Simple Network Management Protocol (SNMP), Internet Control Message Protocol (ICMP) and many proprietary network management protocols. Certain types of network devices are designed to communicate with a network management system using one of these protocols.

A view personality module 20 connected to the user interface 10 contains a collection of data modules which permit the user interface to provide different views of the network. A device personality module 22 connected to the virtual network machine 12 contains a collection of data modules which permit devices and other network entities to be configured and managed with the network management system. A protocol personality module 24 connected to the device communication manager contains a collection of data modules which permit communication with all devices that communicate using the network management protocols specified by the module 24. The personality modules 20, 22 and 24 provide a system that is highly flexible and user configurable. By altering the personality module 20, the user can specify customized views or displays. By changing the device personality module 22, the user can add new types of network devices to the system. Similarly, by changing the protocol personality module 24, the

- 9 -

network management system can operate with new or different network management protocols. The personality modules permit the system to be reconfigured and customized without changing the basic control code of the system.

The overall software architecture of the present invention is shown in FIG. 1. The hardware for supporting the system of FIG. 1 is typically a workstation such as a Sun Model 3 or 4, or a 386 PC compatible computer running Unix. A minimum of 8 megabytes of memory is required with a display device which supports a minimum of 640 x 680 pixels x 256 color resolution. The basic software includes a Unix release that supports sockets, X-windows and Open Software Foundation Motif 1.0. The network management system of the present invention is implemented using the C++ programming language, but could be implemented in other object-oriented languages such as Eiffel, Smalltalk, ADA, or the like. The virtual network machine 12 and the device communication manager 14 may be run on a separate computer from the user interface 10 for increased operating speed.

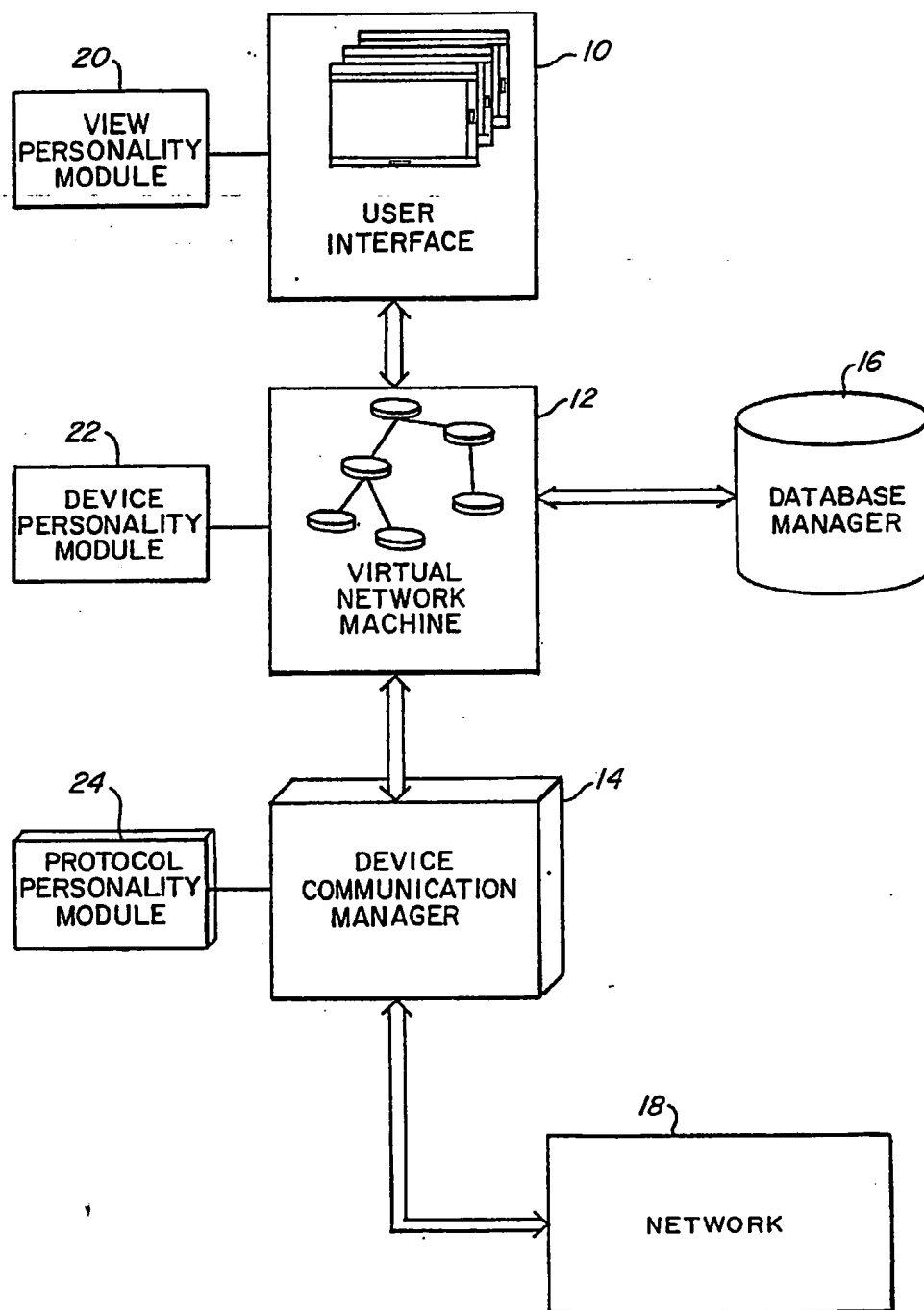
An example of a network is shown in FIG. 2. The network includes workstations 30, 31, 32, 33 and disk units 34 and 35 interconnected by a data bus 36. Workstations 30 and 31 and disk unit 34 are located in a room 38, and workstations 32 and 33 and disk unit 35 are located in a room 40. The rooms 38 and 40 are located within a building 42. Network

- 10 -

devices 44, 45 and 46 are interconnected by a data bus 47 and are located in a building 48 at the same site as building 42. The network portions in buildings 42 and 48 are interconnected by a bridge 50. A building 52 remotely located (in a different city, state or country) from buildings 42 and 48, contains network devices 53, 54, 55 and 56 interconnected by a data bus 57. The network devices in building 52 are interconnected to the network in building 48 by interface devices 59 and 60, which may communicate by a packet switching system, a microwave link or a satellite link. The network management system shown in FIG.1 and described above is connected to the network of FIG. 2 at any convenient point, such as data bus 36.

In general, the network management system shown in FIG. 1 performs two major operations during normal operation. It services user requests entered by the user at user interface 10 and provides network information such as alarms and events to user interface 10. In addition, the virtual network machine 12 polls the network to obtain information for updating the network models as described hereinafter. In some cases, the network devices send status information to the network management system automatically without polling. In either case, the information received from the network is processed so that the operational status, faults and other information pertaining to the network are presented to the user in a systematized and organized manner.

Fig. 1



/H

Fig. 2

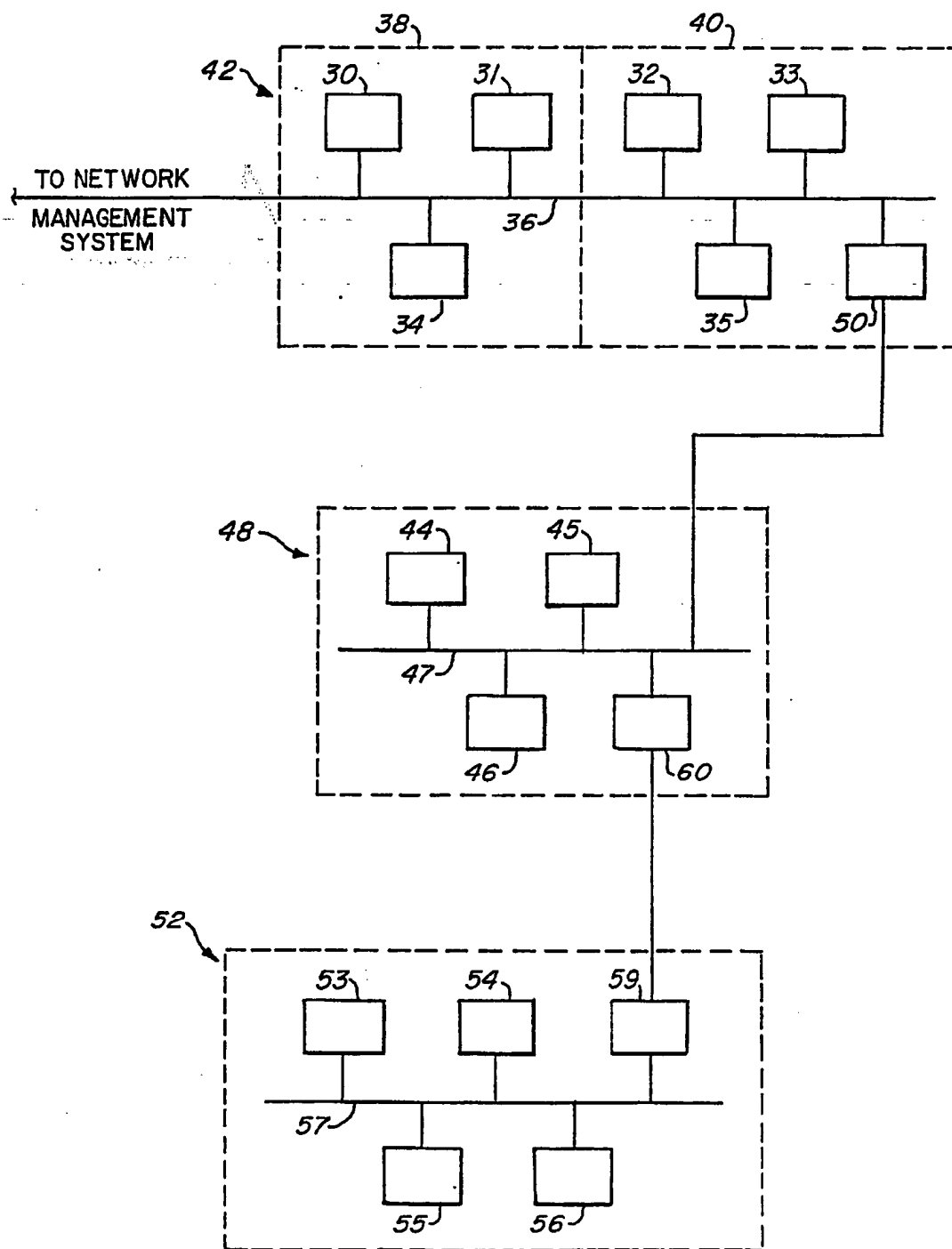
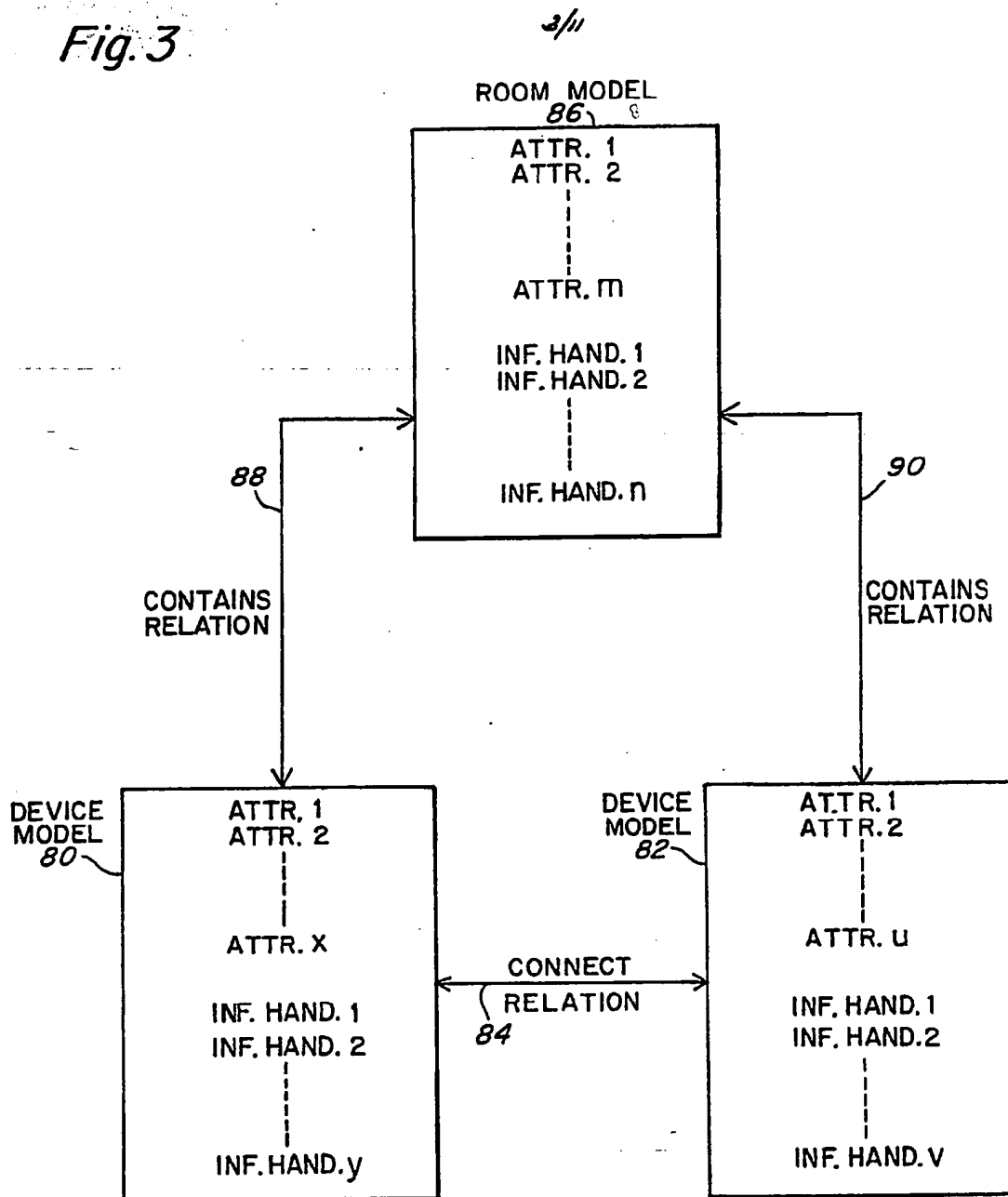


Fig. 3



#11

Fig. 4

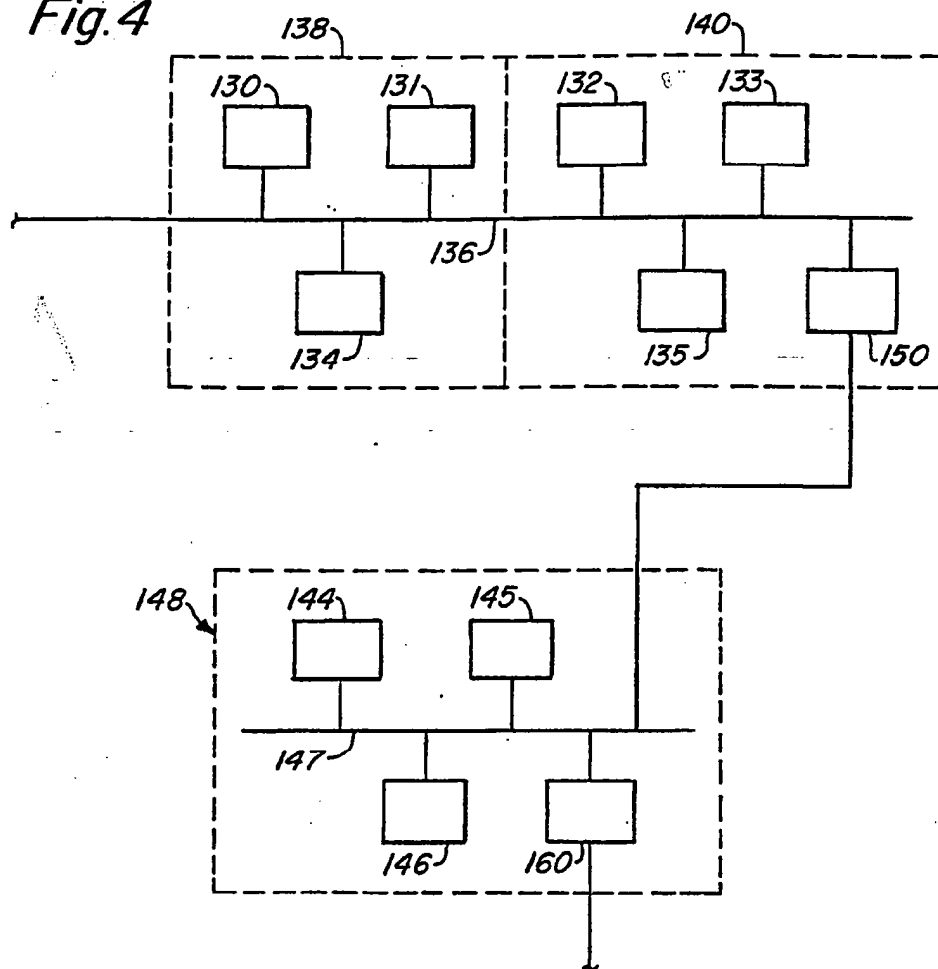




Fig. 5

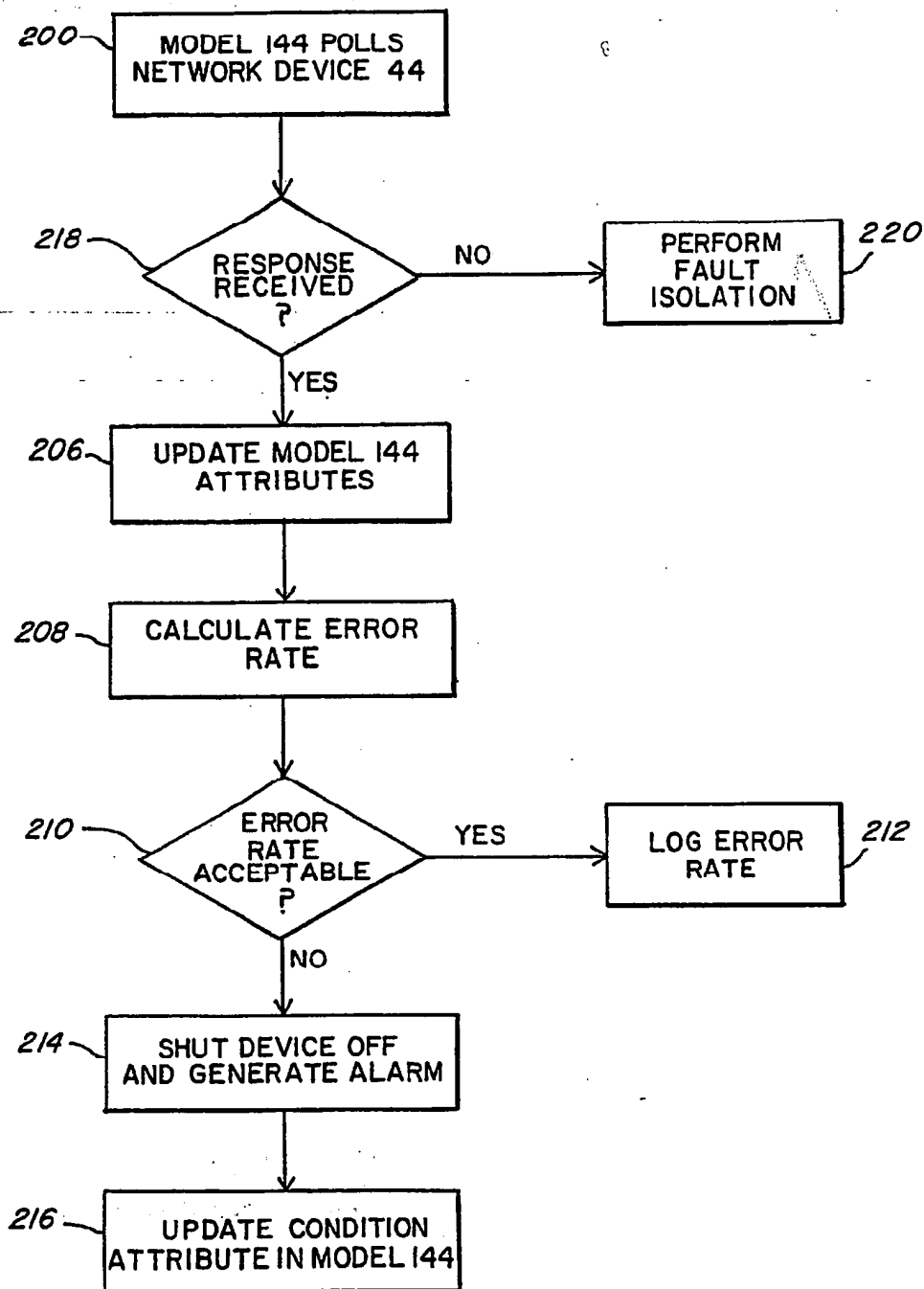


Fig. 6

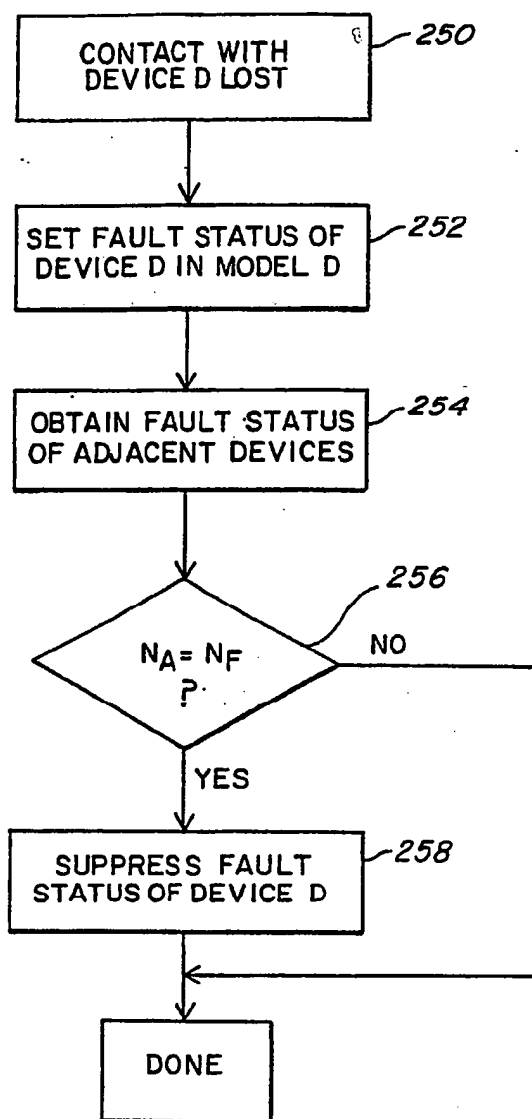


Fig. 7A

7/11

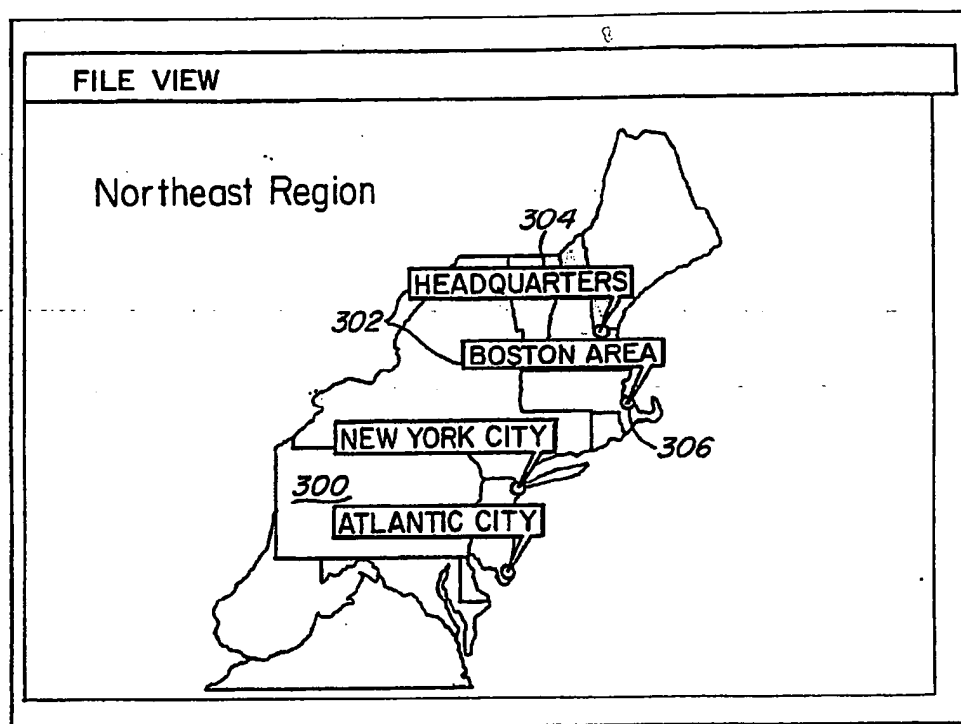
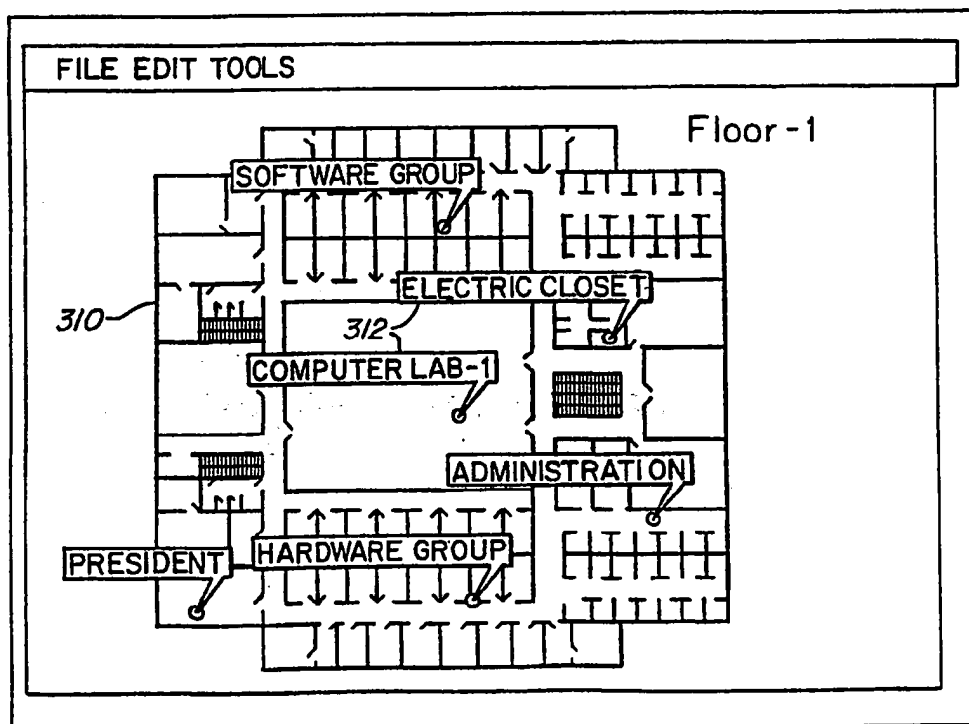
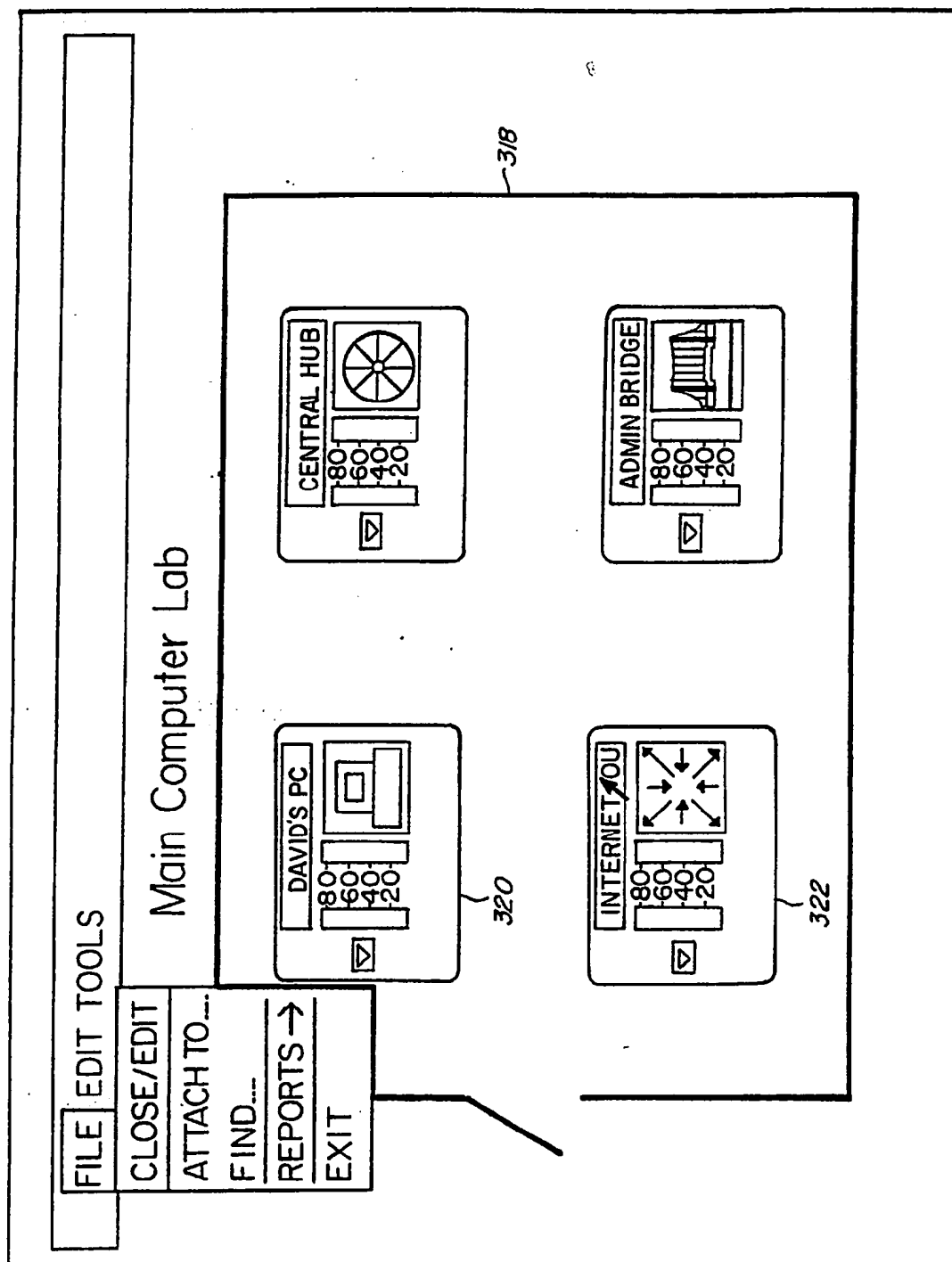


Fig. 7B



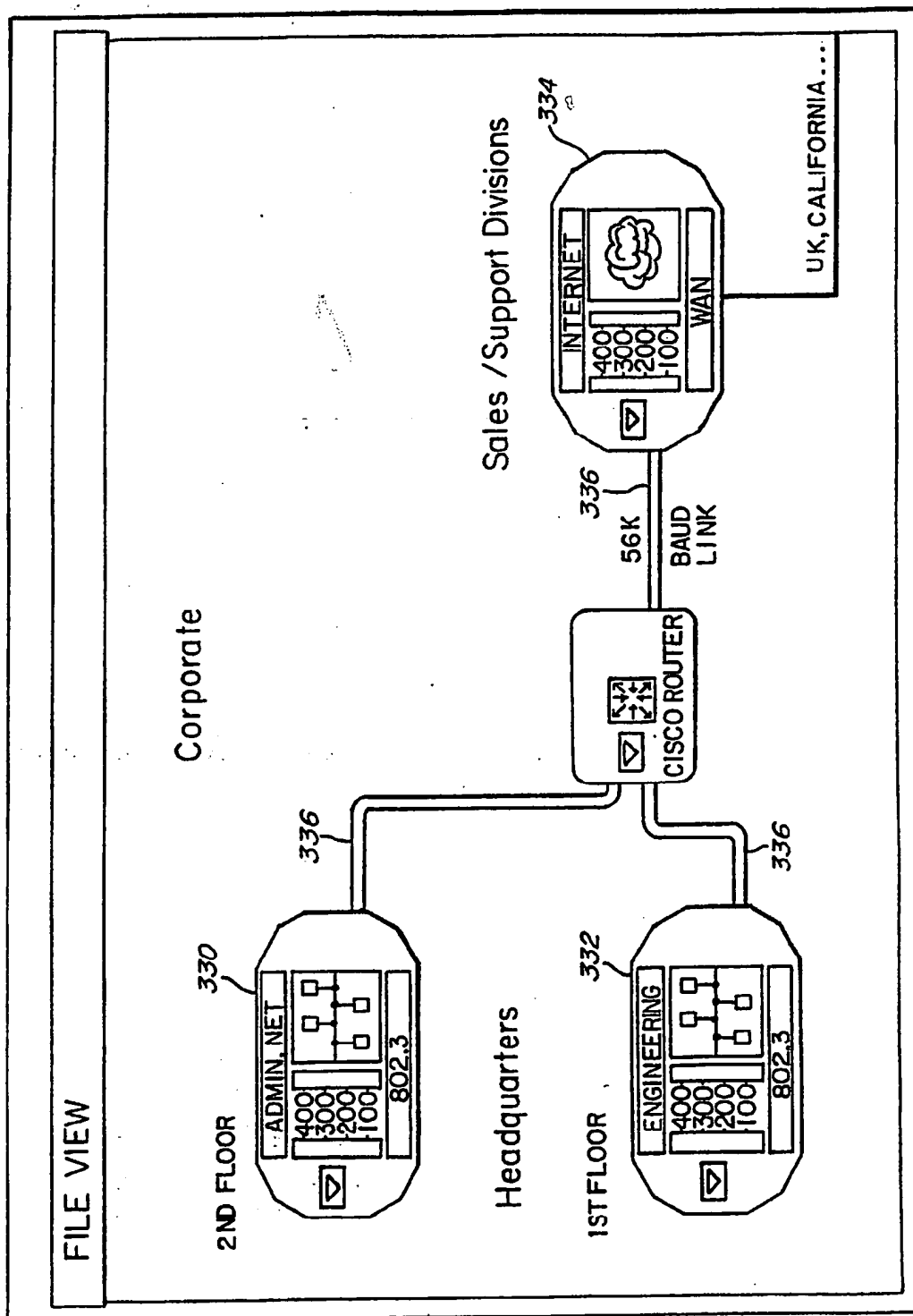
8/11

Fig. 7C



9/11

Fig. 8A



10/11

Fig. 8B

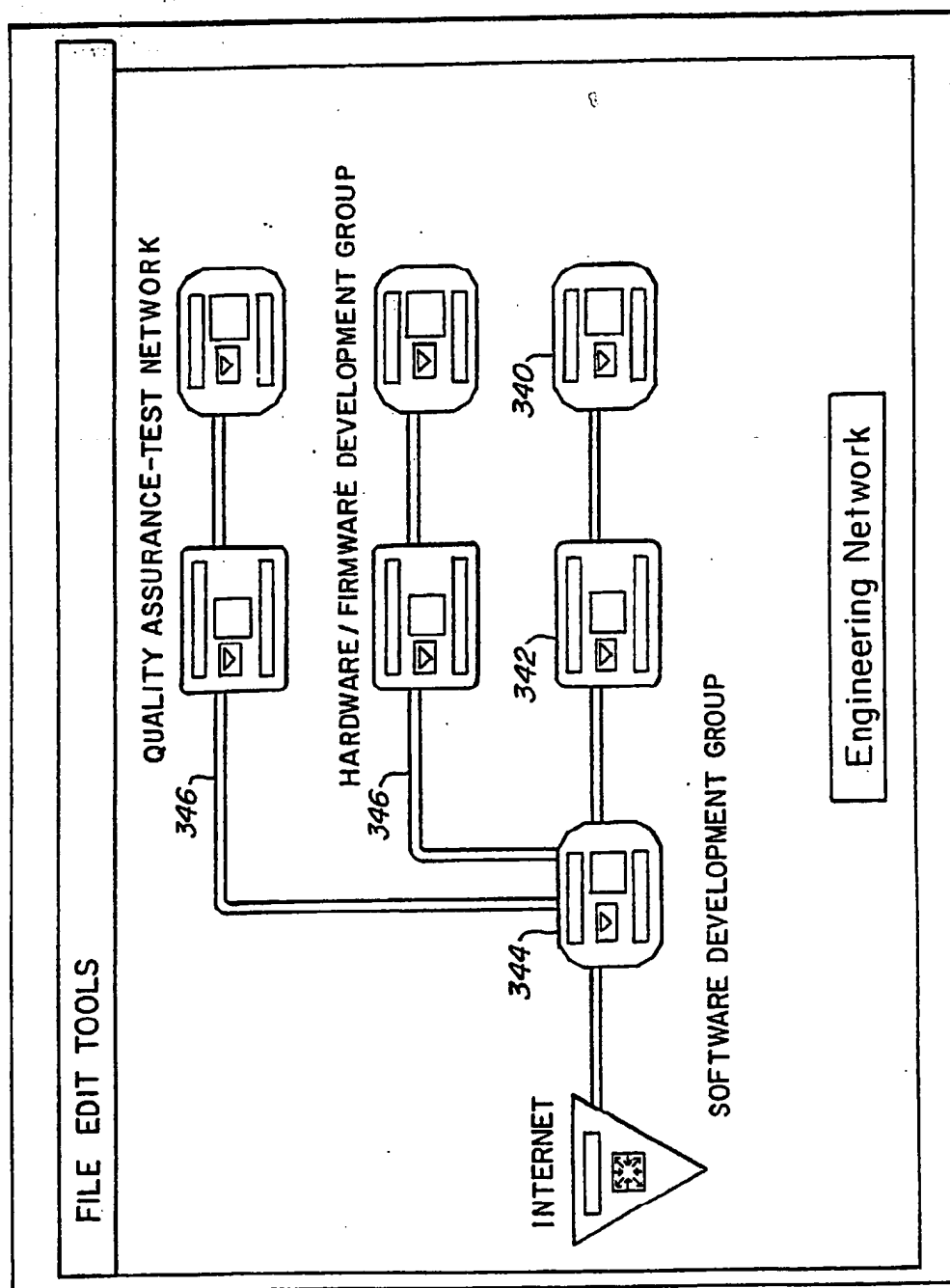


Fig. 9

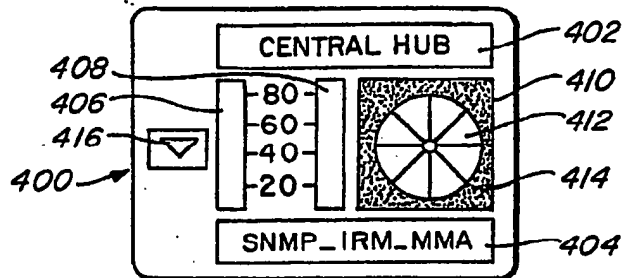
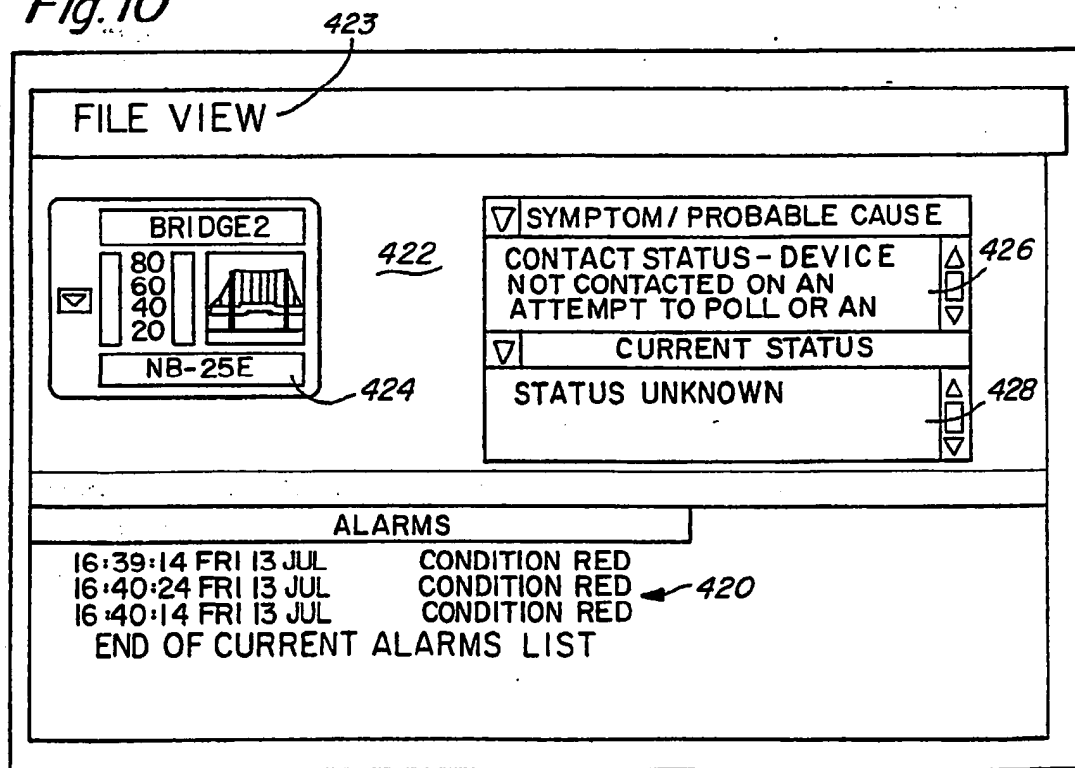


Fig. 10



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



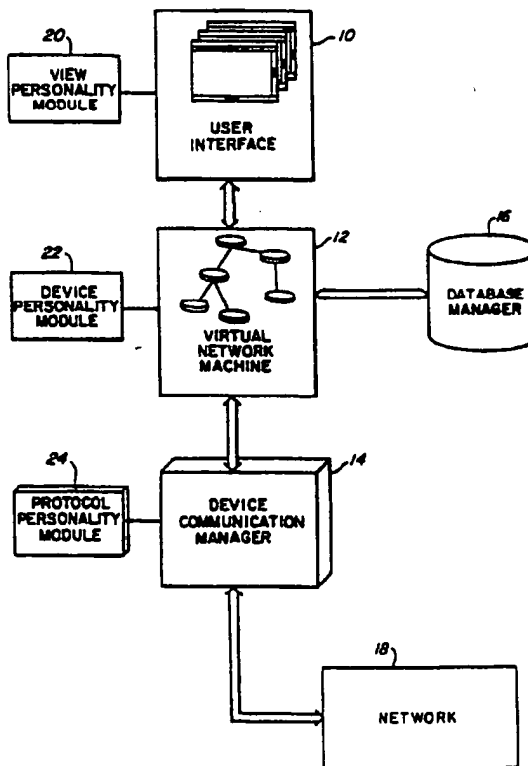
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>5</sup> : G06F 9/46, 15/16		A3	(11) International Publication Number: WO 92/05485
			(43) International Publication Date: 2 April 1992 (02.04.92)
(21) International Application Number: PCT/US91/06725		(74) Agent: McCLELLAN, William, R.; Wolf, Greenfield & Sacks, 600 Atlantic Avenue, Boston, MA 02210 (US).	
(22) International Filing Date: 17 September 1991 (17.09.91)		(81) Designated States: AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).	
(30) Priority data: 583,509 17 September 1990 (17.09.90) US		Published With international search report. Before the expiration of the time limits for amending the claims and to be republished in the event of the receipt of amendments.	
(71) Applicant: CARLETRON SYSTEMS, INC. [US/US]; 35 Industrial Way, Rochester, NH 03867 (US).		(88) Date of publication of the international search report: 25 June 1992 (25.06.92)	
(72) Inventors: DEV, Roger, H. ; 64 Bagdad Road, Durham, NH 03824 (US). EMERY, Dale, H. ; 27 Tall Pine Road, Berwick, ME 03901 (US). RUSTICI, Eric, S. ; 1 Wyandot Circle, Londonderry, NH 03053 (US). BROWN, Howard, M. ; 19 Morning Dove Lane, Rochester, NH 03867 (US). WIGGIN, Dwayne, S. ; 11 Country Ridge Park, Rochester, NH 03867 (US). GRAY, Eric, W. ; 832 Clay Street, Manchester, NH 03103 (US). SCOTT, Walter, P. ; 6 Linsing Drive, Salem, NH 03079 (US).			

(54) Title: NETWORK MANAGEMENT SYSTEM USING MODEL-BASED INTELLIGENCE

(57) Abstract

A network management system includes a user interface, a virtual network and a device communication manager. The virtual network includes models which represent network entities and model relations which represent relations between network entities. Each model includes network data relating to a corresponding network entity and one or more inference handlers for processing the network data to provide user information. The system performs a fault isolation technique wherein the fault status of a network device is suppressed when it is determined that the device is not defective. User displays include hierarchical location views and topological views of the network configuration. Network devices are represented on the displays by multifunction icons which permit the user to select additional displays showing detailed information regarding different aspects of the corresponding network device.





**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

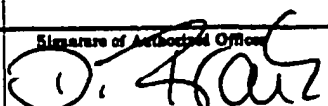
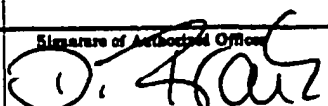
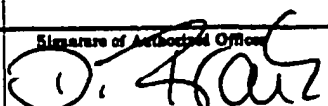
AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MN	Mongolia
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CI	Côte d'Ivoire	LI	Liechtenstein	SU*	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TC	Togo
DE	Germany	MC	Monaco	US	United States of America
DK	Denmark				

\* Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 91/06725

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) <sup>6</sup> According to International Patent Classification (IPC) or to both National Classification and IPC Int.C1.5      G 06 F 9/46      G 06 F 15/16														
<b>II. FIELDS SEARCHED</b> <div style="text-align: center; border: 1px solid black; padding: 2px;">Minimum Documentation Searched<sup>7</sup></div> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 30%; border: 1px solid black; padding: 2px;">Classification System</th> <th style="width: 70%; border: 1px solid black; padding: 2px;">Classification Symbols</th> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">Int.C1.5</td> <td style="border: 1px solid black; padding: 5px;">G 06 F</td> </tr> </table> <p style="text-align: center; font-size: small;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched<sup>8</sup></p>			Classification System	Classification Symbols	Int.C1.5	G 06 F								
Classification System	Classification Symbols													
Int.C1.5	G 06 F													
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup></b> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%; padding: 5px;">Category *</th> <th style="width: 70%; padding: 5px;">Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="width: 20%; padding: 5px;">Relevant to Claim No.<sup>13</sup></th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">X</td> <td style="padding: 5px;">           Proceedings of the Ninth Data Communications Symposium, 10-13 September 1985, Whistler Mountain, British Columbia, R.S. Gilbert al.: "CNMGRAF - Graphic presentation services for network management", pages 199-206, see page 199, column 2, part 2 - page 200, column 1, line 8; page 200, column 1, part 3 - column 2, line 12; page 200, figure 1; page 201, column 1, lines 30-50; page 204, column 1, lines 1-27; page 204, column 2, lines 7-13            ---         </td> <td style="text-align: center; vertical-align: top; padding: 5px;">           36-38, 41-42, 45-47, 49         </td> </tr> <tr> <td style="text-align: center; vertical-align: top; padding: 5px;">Y</td> <td style="text-align: center; vertical-align: top; padding: 5px;">           ---             ---         </td> <td style="text-align: center; vertical-align: top; padding: 5px;">           1-3,5,7 -9,12- 13,16- 18,20, 23-24, 26-28         </td> </tr> <tr> <td colspan="3" style="text-align: center; padding: 5px;">           ---      -/-         </td> </tr> </tbody> </table>			Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	X	Proceedings of the Ninth Data Communications Symposium, 10-13 September 1985, Whistler Mountain, British Columbia, R.S. Gilbert al.: "CNMGRAF - Graphic presentation services for network management", pages 199-206, see page 199, column 2, part 2 - page 200, column 1, line 8; page 200, column 1, part 3 - column 2, line 12; page 200, figure 1; page 201, column 1, lines 30-50; page 204, column 1, lines 1-27; page 204, column 2, lines 7-13 ---	36-38, 41-42, 45-47, 49	Y	---  ---	1-3,5,7 -9,12- 13,16- 18,20, 23-24, 26-28	---      -/-		
Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>												
X	Proceedings of the Ninth Data Communications Symposium, 10-13 September 1985, Whistler Mountain, British Columbia, R.S. Gilbert al.: "CNMGRAF - Graphic presentation services for network management", pages 199-206, see page 199, column 2, part 2 - page 200, column 1, line 8; page 200, column 1, part 3 - column 2, line 12; page 200, figure 1; page 201, column 1, lines 30-50; page 204, column 1, lines 1-27; page 204, column 2, lines 7-13 ---	36-38, 41-42, 45-47, 49												
Y	---  ---	1-3,5,7 -9,12- 13,16- 18,20, 23-24, 26-28												
---      -/-														
<div style="display: flex; justify-content: space-between; font-size: x-small;"> <div style="width: 45%;"> <p>* Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p> </div> </div>														
<b>IV. CERTIFICATION</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; border: 1px solid black; padding: 5px;">           Date of the Actual Completion of the International Search   <div style="text-align: center;">02-02-1992</div> </td> <td style="width: 50%; border: 1px solid black; padding: 5px;">           Date of Mailing of this International Search Report  <div style="text-align: center;">20. 05. 92</div> </td> </tr> <tr> <td style="border: 1px solid black; padding: 5px;">           International Searching Authority   <div style="text-align: center;">EUROPEAN PATENT OFFICE</div> </td> <td style="border: 1px solid black; padding: 5px;">           Signature of Authorised Officer  <div style="text-align: center;">  </div> </td> </tr> </table>			Date of the Actual Completion of the International Search  <div style="text-align: center;">02-02-1992</div>	Date of Mailing of this International Search Report <div style="text-align: center;">20. 05. 92</div>	International Searching Authority  <div style="text-align: center;">EUROPEAN PATENT OFFICE</div>	Signature of Authorised Officer <div style="text-align: center;">  </div>								
Date of the Actual Completion of the International Search  <div style="text-align: center;">02-02-1992</div>	Date of Mailing of this International Search Report <div style="text-align: center;">20. 05. 92</div>													
International Searching Authority  <div style="text-align: center;">EUROPEAN PATENT OFFICE</div>	Signature of Authorised Officer <div style="text-align: center;">  </div>													

Form PCT/ISA/210 (second sheet) (January 1983)

Name Dagmar FRANK

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claims No.
A	---	56,65
Y	Electronic Design, volume 37, no. 21, 12 October 1989, (Cleveland, US) D. Bursky: "Simulator eases communication network design", pages 97-98,100, see the whole article	1-3,5,7 -9,12- 13,16- 18,20, 23-24,
Y	-----	26-28, 56,65

Form PCT/ISA/210 (extra sheet) (January 1985)

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V. ☐ OBSERVATION WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE <sup>1</sup>

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claim numbers  
Authority, namely: because they relate to subject matter not required to be searched by this
2. ☐ Claim numbers  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically,
3. ☐ Claim numbers  
the second and third sentences of PCT Rule 8.4(a). because they are dependent claims and are not drafted in accordance with

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING <sup>2</sup>

This international Searching Authority found multiple inventions in this international application as follows:

FOR FURTHER INFORMATION PLEASE SEE FORM PCT/ISA/206 SENT TO YOU 06-03-92.

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☒ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:  
1-29, 36-65
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the international Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

- 46 -

first network device; and

when the network management system is able to contact at least one network device adjacent to the first network device, maintaining the fault status of the first network device.

36. A method for displaying information relating to a computer network to a user, comprising the steps of:

maintaining information relating to a computer network in a network management system;

in response to user selections, said network management system providing a first set of views showing information relating to said computer network, said first set of views having a hierarchical relationship;

in response to user selections, said network management system providing a second set of views showing information relating to said computer network, said second set of views having a hierarchical relationship; and

in response to user selections, said network management system traversing between said first set of views and said second set of views.

37. A method as defined in claim 36 wherein said first set of views comprise topological views showing interconnections between network entities in said computer network.

- 47 -

38. A method as defined in claim 37 wherein said second set of views comprise location views showing locations of network entities in said computer network.

39. A method as defined in claim 36 wherein said first set of views and said second set of views include icons representative of network entities.

40. A method as defined in claim 38 wherein said topological views and said location views include icons representative of network entities.

41. A method as defined in claim 36 further including the step of said network management system providing visual displays of detailed information relating to said network and, in response to user selections, traversing between said visual displays, said first set of views and said second set of views.

42. A method as defined in claim 36 further including the step of said network management system updating the information to reflect a substantially current state of said computer network.

43. A method as defined in claim 39 wherein the step of maintaining information includes said network management system having models of said network entities, said models containing information

- 48 -

relating to corresponding network entities and providing said information to said first set of views and said second set of views.

44. A method as defined in claim 43 wherein each icon has a corresponding model in said network management system.

45. A system for displaying information relating to a computer network, comprising:

- a digital computer, a video display unit connected to the computer and storage means connected to the computer;

- means for maintaining and updating information relating to a computer network in the storage means;

- means for generating on said video display unit a first set of user selectable views showing information relating to said computer network, said first set of views having a hierarchical relationship;

- means for generating on said video display unit a second set of user selectable views showing information relating to said computer network, said second set of views having a hierarchical relationship; and

- means for traversing between said first set of views and said second set of views in response to user selections.

46. A system as defined in claim 45 wherein said

- 49 -

first set of views comprises topological views showing interconnections between network entities in said computer network.

47. A system as defined in claim 46 wherein said second set of views comprises location views showing locations of network entities in said computer network.

48. A system as defined in claim 45 wherein said means for generating a first set of views and said means for generating a second set of views each include means for generating icons representative of network entities.

49. A system as defined in claim 45 wherein said means for maintaining and updating information includes means for updating the information to reflect a substantially current state of said computer network.

50. A method for displaying information relating to a computer network to a user, comprising the steps of:

- maintaining information relating to network entities of a computer network in a network management system;

- said network management system providing a visual display of the information relating to the network entities, said network entities being



- 50 -

represented on said visual display by icons, each having a plurality of user selectable areas; and in response to a user selection of a prescribed area of an icon, said network management system providing a visual display of detailed information regarding a predetermined aspect of the network entity which the icon represents, each user selectable area of said icon providing access to a different visual display of detailed information regarding said network entity.

51. A method as defined in claim 50 wherein said icon includes a first area that represents a first parameter of the corresponding network entity and wherein said network management system provides detailed information regarding the first parameter of the corresponding network entity when the user selects the first area of the icon.

52. A method as defined in claim 51 wherein said icon includes a second area that represents a second parameter of the corresponding network entity and wherein said network management system provides detailed information regarding the second parameter of the corresponding network entity when the user selects the second area of the icon.

53. A method as defined in claim 52 wherein said icon includes a third area that contains type information regarding the network entity and wherein

- 51 -

the network management system provides detailed configuration information regarding the corresponding network entity when the user selects the third area of the icon.

54. A method as defined in claim 53 wherein said icon includes a fourth area and wherein the network management system provides a pictorial representation of the corresponding network entity when the user selects the fourth area of the icon.

55. A method as defined in claim 52 wherein said first parameter comprises the status of the corresponding network entity and said second parameter comprises the performance of the corresponding network entity.

56. A method for displaying information relating to a computer network to a user, comprising the steps of:

- monitoring network entities of a computer network with a network management system and generating alarms when predefined events occur in said network entities;

- said network management system providing a visual display of said alarms;

- in response to a user selection of one of said alarms, said network management system displaying an icon representative of the network entity having an alarm, said icon having a plurality of user

- 52 -

selectable areas; and

in response to user selection of a prescribed area of the icon, said network management system providing a visual display of detailed information regarding a predetermined aspect of the network entity which the icon represents.

57. A method as defined in claim 56 wherein said network management system displays said icon as part of the visual display of said alarms.

58. A method as defined in claim 57 further including the step of said network management system displaying text information relating to the user selected alarm.

59. A method as defined in claim 56 wherein said icon includes a first area that represents a first parameter of the corresponding network entity and wherein said network management system provides detailed information regarding the first parameter of the corresponding network entity when the user selects the first area of the icon.

60. A method as defined in claim 59 wherein said icon includes a second area that represents a second parameter of the corresponding network entity and wherein said network management system provides detailed information regarding the second parameter of the corresponding network entity when the user

selects the second area of the icon.

61. A method as defined in claim 59 wherein said icon includes a third area that contains type information regarding the network entity and wherein the network management system provides detailed configuration information regarding the corresponding network entity when the user selects the third area of the icon.

62. A method as defined in claim 60 wherein said icon includes a fourth area and wherein the network management system provides a pictorial representation of the corresponding network entity when the user selects the fourth area of the icon.

63. A method as defined in claim 60 wherein said first parameter comprises the status of the corresponding network entity and said second parameter comprises the performance of the corresponding network entity.

64. A system for displaying information relating to a computer network, comprising:

- a digital computer, a video display unit connected to said computer and storage means connected to said computer;

- means for maintaining and updating information relating to network entities of a computer network in said storage means;

- 54 -

means for displaying on said video display unit information relating to said network entities, including means for representing said network entities on said video display unit by icons, each icon having a plurality of user selectable areas; and

means for providing on said video display unit a display of detailed information regarding a predetermined aspect of the network entity which the icon represents in response to a user selection of a prescribed area of the icon, each user selectable area of said icon providing access to a different display of detailed information regarding said network entity.

65. A system for displaying information relating to a computer network, comprising:

a digital computer, a visual display unit connected to said computer and storage means connected to said computer;

means for monitoring network entities of a computer network and for generating alarms when predefined events occur in said network entities;

means for providing a display of said alarms on said video display unit;

means for displaying on said video display unit an icon representative of a network entity having an alarm in response to a user selection of one of said alarms, said icon having a plurality of user selectable areas; and

means for providing on said video display unit a

- 55 - 6

display of detailed information regarding a predetermined aspect of the network entity which the icon represents in response to a user selection of a prescribed area of the icon.

- 41 -

user information; and

supplying the user information to a user.

17. A method as defined in claim 16 wherein the step of updating the data in said models includes polling by selected models of the corresponding network entities at predetermined times to obtain new data.

18. A method as defined in claim 16 wherein the step of updating the data in said models includes the step of receiving new data automatically sent by said network entities and updating the data in corresponding models.

19. A method as defined in claim 16 wherein the step of representing relations between said network entities includes defining connections between network devices by connection relations between models.

20. A method as defined in claim 16 wherein the step of representing relations between said network entities includes representing hierarchical relations between network entities by hierarchical relations between models.

21. A method as defined in claim 16 wherein the step of processing the data is triggered by a change in specified data in the same model.

- 42 -

22. A method as defined in claim 16 wherein the step of processing the data is triggered by a change in specified data in a different model.

23. A method as defined in claim 16 wherein the step of processing the data includes means for generating an alarm condition when specified data exceeds predetermined limits.

24. A method as defined in claim 16 wherein said means for processing network data includes means for generating an event condition when specified data meets a predetermined criteria.

25. A method as defined in claim 16 wherein the step of representing network entities includes representing network devices by device models.

26. A method as defined in claim 16 wherein the step of representing network entities includes representing geographical locations of network devices by geographical models.

27. A method as defined in claim 16 wherein the step of representing network entities includes representing topological groupings of network devices by topological models.

28. A method for monitoring the status of a network comprising a plurality of interrelated network



- 43 -

entities, said method comprising the steps of:

maintaining in a computer system a representation of the network including models and relations between models, each model corresponding to one or more of the network entities and including status information regarding one or more network entities, each model further including one or more inference handlers;

said representation of the network communicating with selected ones of the network entities and obtaining operational information;

said inference handlers updating the status information in said models in response to said operational information; and

said representation of the network providing the status information to a user.

29. A method as defined in claim 28 wherein said inference handlers update the status information in said models in response to said operational information and in response to information obtained from other models.

30. A method for isolating a network fault using a network management system, comprising the steps of:  
when contact between the network management system and a first network device is lost, setting a fault status for the first network device;

determining the fault status of all network devices adjacent to the first network device;

- 44 -

when the fault status of each network device adjacent to the first network device is set, suppressing the fault status of the first network device; and

when the fault status of at least one network device adjacent to the first network device is not set, maintaining the fault status of the first network device.

31. A method as defined in claim 30 wherein said network management system includes models of network entities and model relations between network entities, the fault status of each network entity being contained in the corresponding model and wherein the fault status of each network entity is obtained by regularly polling the corresponding network device.

32. A method as defined in claim 31 wherein the step of determining the fault status of all network devices adjacent to the first network device includes a model of the first network device watching the fault status in models of all network devices adjacent to the first network device.

33. A method as defined in claim 32 wherein said models and model relations represent hierarchical relations between network devices and wherein, when fault status of all network devices at a given level of the hierarchy is suppressed, the fault status is

- 45 -

set in a model of the next higher level of the hierarchy.

34. A method for isolating a network fault, comprising the steps of:

- when communication with a first network element is lost, setting a fault status for the first network element;

- determining the fault status of all network elements adjacent to the first network element;

- when the fault status of each network element adjacent to the first network element is set, suppressing the fault status of the first network element; and

- when fault status of at least one of the network elements adjacent to the first network element is not set, identifying the first network element as the source of the network fault.

35. A method for isolating a network fault using a network management system, comprising the steps of:

- when contact between the network management system and a first network device is lost, setting a fault status for the first network device;

- determining whether the network management system is able to contact all network devices adjacent to the first network device;

- when the network management system is unable to contact all network devices adjacent to the first network device, suppressing the fault status of the

- 31 -

green may indicate a normal status, whereas red may indicate a fault or trouble status. By clicking on one of the network locations, the next lower level location view can be obtained. In this example, a floor plan 310 of the headquarters network is shown in FIG. 7B. Locations of network devices are indicated by icons 312 which are similar to icons 302 described above. By clicking on one of the icons 312 shown in FIG. 7B, a location view of a single room 318 is displayed as shown in FIG. 7C. In this case, the network devices contained within a computer lab are represented by multifunction icons 320, 322, which will be described in detail hereinafter.

In the topological views, a similar hierarchy is utilized, and the connections between network elements are shown. At the highest level, network interconnections at a worldwide or national level are shown. At each lower level, more detailed views, such as local area networks and subnetworks, are shown.

Examples of topological views are shown in FIGS. 8A and 8B. In FIG. 8A, a topological view of a corporate site is shown. An administration network icon 330 and an engineering network icon 332 are interconnected to an Internet icon 334 by links 336. Each network is represented by a multifunction icon. By clicking on the engineering network icon 332, a view of the details of the engineering network is obtained, as shown in FIG. 8B. The

- 32 -

network devices in the engineering network are represented by multifunction icons 340, 342, 344, and the interconnections 346 between network devices are shown.

The location and topological views represent different dimensions of the same network. The user can traverse between location and topological views to obtain any necessary information regarding the configuration of the network. The user display also provides generic views such as an alarm log, an event log, a text display, a chart, or any other way of displaying attribute information. The user traverses between available views to obtain required network information. There are two basic ways of traversing between views. As indicated above, the user can click on icons in the location and topological views to traverse to the next lower level in the hierarchy of views. Also, the different views include pull-down menus, as commonly used in window-based displays, which permit selection of any desired view.

Each view that is available to the user has a corresponding view manager in the user interface. Similarly, each icon has a corresponding icon manager in the user interface. The view manager serves as the common parent for all parent icon managers associated with a given view. The view manager saves icon screen placement information and the associated virtual network machine model handles that the icons represent. The view manager

- 33 -

determines other views to which a user may traverse from the current view. The view manager displays appropriate menu items and allows the user to select other views. The view manager may permit the user to traverse from a location view to a topological view, or vice versa.

The icon manager class is an instantiated C++ class with one or more icon managers controlling each icon. Each icon manager controls some part of the on-screen display, such as a bar graph, an arrow or the entire background of an icon. The icon manager represents a model within the virtual network machine and contains a representation of the virtual network machine model at the current time. The icon manager can communicate with the virtual network machine model that it represents. When attribute data within the virtual network machine model changes, the appropriate icon manager is notified of the change and modifies the icon appearance to reflect the new state, the new statistics or appropriate error conditions. Thus, the icon manager displays data from the virtual machine model which it represents.

Icon managers are structured in a hierarchical manner. A parent icon manager may control a background picture of an icon, and the parent typically has a group of children icon managers attached it. Each icon manager has associated with it the model handle of the virtual network machine model which it represents.

- 34 -

The icon manager can place a watch on the virtual network machine model that it represents. The watch informs the model that an icon manager now represents that model within the user interface. Any changes in the state of the model are forwarded to the corresponding icon manager. The watch includes a parameter that specifies the severity level of the watch. A change in model attribute data must be equal to or greater than the severity level set within the model before the icon manager receives notification of a change in attribute data. Another way to place a watch on a virtual network machine model is for the icon manager to set a timer to poll the model periodically. A watch is generic in that the data received from a watch includes a selected set of attribute data for the corresponding model. The data in a model may have changed extensively since the icon manager was last notified. When the icon manager polls a model, it reads attribute data from the model and performs required actions.

When the user clicks on an icon to proceed to another view, the icon manager determines the view class and the next view. The icon manager then issues a new view by passing the view class and the appropriate virtual network machine model ID to the view executive, thereby causing the current view to be destroyed.

The user interface 10 and the virtual network machine 12 communicate via Unix sockets. Messages

- 35 -

between these two components are encoded in a machine independent format. A user interface object such as an icon manager or a view manager may communicate with a model, model type or model relation in the virtual network machine in order to retrieve attribute data.

The multifunction icons used in the network management system provide a highly flexible technique for presenting information to the user. As shown in FIG. 9, a multifunction icon 400 can include an area 402 for a device name, an area 404 for model type information, bar graphs 406 and 408 for indicating performance parameters such as number of packets and error rate, an area 410 for displaying an iconic or symbolic representation 412 of the device, a background area 414 for representing the status of the network device by different colors and a figure 416 that is used for traversing to a pictorial representation of the device. Some or all of the areas of the icon can be clicked upon to obtain additional information regarding the network device.

In a preferred embodiment, a view showing general configuration information relating to the network device is provided when the user clicks on area 402 or 404 of icon 400. A view showing status information pertaining to the device is provided when the user clicks on area 410, and a view showing performance information is provided when the user clicks on bar graphs 406 and 408. As indicated



- 36 -

above, a pictorial representation of the network device is provided when the user clicks on figure 416. It will be understood that the multifunction icon can include different information and areas, depending on the device being represented and the information that is required, and that different information and display views can be provided by clicking on different areas of the icon.

The multifunction icons shown and described herein are used in an alarm log view that is shown in FIG. 10. The alarm log view includes an area 420 for listing of current alarms, an area 422 for display of information pertaining to a selected alarm and a button panel 432 which displays options available for an alarm. The user may click on a particular alarm in the listing of current alarms to obtain more information. A multifunction icon 424 representing the network device having a fault is displayed in area 422 with one or more text fields 426 and 428 which provide information to the user regarding the cause of the alarm and the status of the device. By clicking on specified areas of the icon 424, the user can obtain further information regarding the device for which an alarm is registered, as described above in connection with icon 400. The user can also traverse to the location or topological view from the alarm log view. By clicking on other alarms in the alarm list, similar information is obtained regarding other alarm conditions.

- 37 -

The user interface of the network management system is highly flexible and permits new views of the network to be added to the network management system. New views require new view managers and icon managers to be instantiated. Since the views are implemented as C++ objects, new views and icons are easily derived from existing views and icons. New views and modifications of existing views are easily provided by additions or changes to parameters and data which control the views, without changes to the control code.

While there have been shown and described what are at present considered the preferred embodiments of the present invention, it will be obvious to those skilled in the art that various changes and modifications may be made therein without departing from the scope of the invention as defined by the appended claims.

- 38 -

CLAIMS

What is claimed is:

1. A system for use with a computer network, comprising:
  - a virtual network including a plurality of models for representing network entities, each model containing network data relating to a corresponding network entity and means for processing said network data to provide user information, said virtual network further including model relations representing relations between said network entities;
  - means for transferring network data from said network entities to the corresponding models in said virtual network; and
  - means for supplying said user information from said virtual network to a user.
2. A system as defined in claim 1 wherein said virtual network includes means for updating network data in said models with new network data received from the corresponding network entities.
3. A system as defined in claim 1 wherein selected models in said virtual network include means for polling the corresponding network entity to obtain new network data.

4. A system as defined in claim 1 wherein said model relations represent network connections between network devices.
5. A system as defined in claim 1 wherein said model relations represent hierarchical relations between said network entities.
6. A system as defined in claim 1 wherein said models represent network devices.
7. A system as defined in claim 1 wherein said models represent the geographical location of network entities.
8. A system as defined in claim 1 wherein said models represent a topological grouping of network entities.
9. A system as defined in claim 1 wherein said models represent software applications being executed on network devices.
10. A system as defined in claim 1 wherein said means for processing is triggered by a change in specified network data in the same model.
11. A system as defined in claim 1 wherein said means for processing is triggered by a change in specified network data in a different model.

- 40 -

12. A system as defined in claim 1 wherein said means for processing includes means for generating an alarm condition when said network data satisfies a predetermined criteria.

13. A system as defined in claim 1 wherein said means for processing includes means for generating an event when said network data satisfies a predetermined criteria.

14. A system as defined in claim 1 wherein said means for processing includes a plurality of inference handlers each for performing a predetermined function.

15. A system as defined in claim 1 wherein said virtual network comprises a programmed digital computer.

16. A method for obtaining user information relating to a computer network, comprising the steps of:

- representing network entities of said computer network by models, each containing data relating to a corresponding network entity;

- representing relations between said network entities by model relations;

- updating the data in said models with new data from the corresponding network entities;

- processing the data in said models to provide

- 25 -

administrator was typically provided with a list of possible causes of a fault and was required to isolate the fault based on his experience and knowledge of the network.

In accordance with a feature of the present invention, the network management system isolates network faults using a technique known as status suppression. When contact between a model and its corresponding network device is lost, the model sets a fault status and initiates the fault isolation technique. According to the fault isolation technique, the model (first model) which lost contact with its corresponding network device (first network device) determines whether adjacent models have lost contact with their corresponding network devices. In this context, adjacent network devices are defined as those which are directly connected to a specified network device. If adjacent models cannot contact the corresponding network devices, then the first network device cannot be the cause of the fault, and its fault status in the first model is suppressed or overridden. By suppressing the fault status of the network devices which are determined not to be defective, the defective network device can be identified.

The fault isolation technique is advantageously implemented in the conjunction with the model-based representation of the network and polling of network devices as described above. In a preferred embodiment of the fault isolation technique, each

- 26 -

model that is capable of polling its corresponding network device maintains a fault status for that device. If contact with the device is lost, the fault status is set. Each such model also maintains a count of the number of network devices that are directly connected to the network device. In addition, each such model maintains a count of the number of adjacent network devices for which contact has been lost. This information is determined by each model watching the fault status in models corresponding to adjacent network devices. When a given model loses contact with its corresponding network device, two operations are performed. The fault status of the model is set, and the count of total adjacent devices is compared with the count of adjacent devices for which the fault status is set. If the counts are equal, all adjacent models have lost contact with their corresponding network devices. In this case, the fault status of the first model is suppressed.

Since models that are capable of polling network devices perform polling regularly on an asynchronous basis, the fault status of each such model is regularly updated. However, when the fault isolation technique described above is used, the fault status is suppressed in those models which are determined not to be defective. Thus, the fault status contained in the models is an accurate representation of defective network devices.

A flow chart of the fault isolation technique is

- 27 -

shown in Fig. 6. When a model D loses contact with the corresponding network device D (step 250), model D sets its fault status in step 252. Model D then obtains the fault status of all devices which are adjacent to device D in step 252. The fault status of adjacent devices is determined from the fault status maintained in models of adjacent devices. In step 256 the number of adjacent devices  $N_A$  adjacent to device D is compared with the number of adjacent devices having a fault  $N_F$ . If  $N_A$  is not equal to  $N_F$ , contact can be made with at least one device adjacent to device D, and the fault status of device D is maintained. If  $N_A = N_F$ , contact has been lost with all devices adjacent to device D and the fault status of device D is suppressed in step 258. As described above, this procedure is performed each time a model loses contact with its corresponding network device.

By way of example, assume that model 144 (Fig. 4) is unable to contact its corresponding network device 44 (Fig. 2). The model 144 sets its fault status and obtains the fault status of adjacent devices 45, 46, 60 and 50 from the corresponding models. Assume in this case that the cause of the fault is the bridge device 50. Since the adjacent devices 45, 46, 60 and 50 cannot be contacted by the corresponding models, the fault status of these devices will be set in the corresponding models. Model 144 will therefore determine that the fault status of all adjacent devices is set and will



- 28 -

suppress its own fault status. In this example, the topological configuration of the network and the corresponding models are used to isolate the source of a fault and to suppress the fault status of downstream network devices.

The fault isolation technique described above can also be applied in a geographical configuration. For example, assume that contact is lost with network devices 30, 31 and 34 in room 38 as shown in FIG. 2. In this case, it is likely that all devices within the room have failed due to a power loss or a failure of data bus 36. In this case, the fault status of devices 30, 31 and 34 is suppressed in corresponding models 130, 131 and 134, and the fault status of room 42 is maintained.

The above examples relate to hardware faults. The fault isolation technique of the invention can also be applied to isolation of software faults. As indicated above, the virtual network machine may include models of application software running on the network devices. Assume, for example, that contact is lost with an electronic mail application running on a specified network device. The electronic mail application may depend on other software, such as a file transfer module, for its operation. The electronic mail application may have failed to respond because of a failure of the file transfer module. The technique described above can be utilized to isolate the software application having a fault.

- 29 -

The fault isolation technique described above is particularly useful in a network management system utilizing model-based intelligence as described above. However, the fault isolation technique is not limited to such use. The fault isolation technique of determining the fault status of adjacent devices and suppressing the fault status of the first device when the fault status of all adjacent devices is set, can be applied in a network management system that does not use models of network entities. Furthermore, the fault isolation technique is not limited to network management systems. The technique is more generally applicable to any system where it is desired to determine and isolate the cause of a problem or fault by suppressing symptomatic information.

As indicated above, the user interface 10 provides information concerning the network to a user. The primary device for presenting network information to the user is a video display screen. The display screen utilizes a high resolution, window-based display system to provide different views or displays of the network configuration and operation. The user display is based on the X-Window system which includes routines for generating the appropriate display or view based on input data. The X-Window system is a standard window controller developed by the X-Consortium at Massachusetts Institute of Technology. The display screen is used in conjunction with a mouse to permit

- 30 -

the user to select different views of the network. It will be understood that the user interface can be implemented using other window-based systems.

The network management system provides multiple views, including location views, topological views and generic views, of the network. Multifunction icons are used in some views to represent different network entities. The location and topological views are organized in a hierarchical manner. By clicking on specified elements of a view, the user can obtain a view of the next lower level in the hierarchy. As used herein, "clicking" refers to using the mouse to move the cursor to a specified location on the display screen and then depressing the mouse button.

In the location views, the highest level may show a map of the world with network locations indicated thereon. Intermediate views may show a map of a country or a region, while lower level views may show the floor plan of a building or room that contains network devices. At the lowest level, the user may obtain a pictorial view of an individual device.

Examples of location views are shown in Figs. 7A-7C. A map 300 of the northeast region, with network locations indicated by icons 302, is shown in FIG. 7A. The icons 302 each include a name label 304 pointing to a circle 306 which indicates a network location. The color of the circle 306 indicates a status of that location. For example,

- 18 -

external database. An event message is also sent to the user interface based on event filters, as discussed below. The user can request event information from the database. An event message includes a model handle, a model-type handle, an event date and time, an event type and subtype, an event severity, a model name, a model-type name, an event user name, an event data count and event variable data. The event variable data permits additional information to be provided about the event.

Event messages sent to the user interface can utilize a filter process that is specified by the user. The user can specify model types and a minimum event severity for which events will be displayed on the user screen. Events from unspecified model types or less than the minimum severity will not be displayed. Many other event selection or filtering criteria can be used. In general, any information contained in the event message can be used for event filtering.

Statistics history messages are similar to the event messages described above. The statistics information includes any model parameters or functions which the user wishes to monitor. A statistics history message passes from the model to a statistics log manager and subsequently to the external database. The statistics message is also sent to the user interface based predefined filter parameters. The user can request the statistics log

- 19 -

manager to obtain and display statistics information from the external database. Statistics messages are compiled whenever a device read procedure occurs.

When an alarm event occurs in a model, a notice of the alarm event is sent to an alarm log and to the event log. The alarm log selects the most severe alarm for each model which is registering an alarm. The alarms are sent to an alarm window in the user interface. The user can obtain more information on the alarm message by pressing an appropriate button on the window display. Alarm log messages include the following parameters: alarm condition, alarm cause, alarm status, alarm security data, alarm clear switch and alarm unique ID.

An example will now be given to illustrate operation of the virtual network machine 12. A portion of the virtual machine 12 is shown schematically in FIG. 4. The models shown in FIG. 4 correspond to network entities shown in FIG. 2. A flow chart illustrating the example is shown in FIG. 5. Each network device has a model in the virtual network machine 12. Thus, for example, model 144 corresponds to network device 44, model 145 corresponds to network device 45, etc. Models 144 and 145 are related by connection relation 147 which corresponds to data bus 47. Room model 148 is related to models 144 and 145 by a contains relation.

In operation, at a specified time model 144 initiates polling of network device 44 in step 200 in order to obtain an update of the status of

- 20 -

network device 44. The model 144 sends a request to the device communication manager 14 to poll network device 44. The device communication manager 14 converts the request to the required protocol for communication with network device 44 and sends the message. The requested information may, for example, be the number of packets sent on the network in a given time and the number of errors that occurred. When the requested information is returned to model 144, the corresponding attributes in model 144 are updated in step 206 and an error rate inference handler is triggered. The error rate inference handler in step 208 calculates the error rate for network device 44. If the error rate is within prescribed limits (step 210), an error rate attribute is updated, and the new information is logged into the database (step 212). If the calculated error rate is above a predetermined limit, an error alarm inference handler is triggered. The error alarm inference handler may shut off the corresponding network device 44 and send an alarm to the user interface in step 214. The alarm is also logged in the database. If the network device 44 is shut off in response to a high error rate, a condition attribute in model 144 is updated to reflect the off condition in step 216. If no response was received from the network device 44 when it was polled (step 218), a fault isolation inference handler is triggered in step 220. The fault isolation inference handler operates as

- 21 -

described below to determine the network component which caused network device 44 to fail to respond to the poll. When the cause of the fault is determined, a fault message is sent to the user interface.

Polling of network device 44 is repeated at intervals specified by an attribute contained in model 144. In addition, other network devices are polled at intervals which may be different for each network device. The information returned to each model is processed by the inference handlers for that model and by inference handlers in other models that are watching such information. In general, each model type may include a different set of inference handlers.

As described above, an attribute change in one model can trigger an inference handler in one or more other models and thereby produce a chain of actions or responses to the attribute change. For example, if a fault occurs in a network device, the condition attribute of that device is changed in the corresponding model. The condition change may trigger a condition change in the model of the room which contains the device. Likewise, the condition change in the room may trigger a condition change in the building or site model. The condition attribute in each model may have a different level of significance. For example, failure of a device may have a high significance in the network device model but a relatively low significance in the site model.

- 22 -

The software models and model relations that are representative of a network as described herein are highly flexible and adaptable to new network configurations and new management functions. New models and model relations are easily added to the virtual network machine to accommodate the needs of the user. The use of the C++ programming language permits new model types to be derived from existing model types. Thus, the virtual network machine 12 can be customized for a particular application.

A model type editor is used to modify and control the models in the virtual network machine 12. The following functions are provided:

1. Describe () describes some aspect of the specified model type.
2. Create () creates a new model for the specified model type.
3. Destroy () removes the specified model from the configuration.
4. Read () reads the value of the specified attribute from a model.
5. Write () writes the given values to the attributes of the model.
6. Action () performs the specified action.
7. Generate event () creates an event message.

Similarly, the model relations can be edited by the user. The following functions can be performed on model relations.

1. Describe () describes an aspect of the



- 23 -

specified relation.

2. Read () reads a set of associations.
3. Add () adds an association.
4. Remove () removes a set of associations.
5. Count () returns the number of associations that match the selection criteria.
6. Read rule () reads a set of relation rules.

As indicated above, each inference handler is triggered by the occurrence of a specified event or events. The user must register the inference handler to receive the trigger. An inference handler can be triggered upon the creation or destruction of a model, the activation or initializing of a model, the change of an attribute in the same model, the change of an attribute in a watched model, the addition or removal of a relation, the occurrence of a specified event or a user-defined action.

The virtual network machine described above including models and model relations provides a very general approach to network management. By customizing the virtual network machine, virtually any network management function can be implemented. Both data (attributes) and intelligence (inference handlers) are encapsulated into a model of a network entity. New models can be generated by combining or modifying existing models since the models are implemented in the C++ programming language. A

- 24 -

model can be identified by a variety of different dimensions or names, depending on the attributes specified. For example, a particular network device can be identified as a device, a type of device, or by vendor or model number. Models are interrelated with each other by different types of relations. The relations permit stimulus-response chaining. The model approach provides loosely-coupled intelligent models with interaction between models according to specified triggers. The system has data location independence. The data for operation of the virtual network machine may reside in the database, memory or in the physical network which is being modeled.

An important function of a network management system is the identification and isolation of faults. When the network management system loses contact with a network device, the reason for the loss of contact must be determined so that appropriate action, such as a service call, can be taken. In a network environment, loss of contact with a network device may be due to failure of that network device or to failure of another network device that is involved in transmission of the message. For example, with reference to FIG. 2, assume that contact is lost with network device 53. The loss of contact could be due to the failure of network device 53, but could also be due to the failure of network devices 50, 60 or 59. In prior art network management systems, the network

- 11 -

As indicated above, the network entities that make up the network that is being managed by the network management system are represented by software models in the virtual network machine 12. The models represent network devices such as printed circuit boards, printed circuit board racks, bridges, routers, hubs, cables and the like. The models also represent locations or topologies. Location models represent the parts of a network geographically associated with a building, country, floor, panel, rack, region, room, section, sector, site or the world. Topological models represent the network devices that are topologically associated with a local area network or subnetwork. Models can also represent components of network devices such as individual printed circuit boards, ports and the like. In addition, models can represent software applications such as data relay, network monitor, terminal server and end point operations. In general, models can represent any network entity that is of interest in connection with managing or monitoring the network.

The virtual network machine includes a collection of models which represent the various network entities. The models themselves are collections of C++ objects. The virtual network machine also includes model relations which define the interrelationships between the various models. Several types of relations can be specified. A "connects to" relation is used to specify an

- 12 -

interconnection between network devices. For example, the interconnection between two workstations is specified by a "connects to" relation. A "contains" relation is used to specify a network entity that is contained within another network entity. Thus for example, a workstation model may be contained in a room, building or local network model. An "executes" relation is used to specify the relation between a software application and the network device on which it runs. An "is part of" relation specifies the relation between a network device and its components. For example, a port model may be part of a board model or a card rack model.

Relations are specified as pairs of associations. The relations can specify peer-to-peer associations and hierarchical associations.

Each model includes a number a attributes and one or more inference handlers. The attributes are data which define the characteristics and status of the network entity being modeled. Basic attributes include a model name, a model type name, a model type handle, a polling interval, a next-time-to-poll, a retry count, a contact status, an activation status, a time-of-last-poll and statistics pertaining to the network entity which is being modeled. Polling of network devices will be described hereinafter. In addition, attributes that are unique to a particular type of network device

- 13 -

can be defined. For example, a network bridge contains a table that defines the devices that are located on each side of the bridge. A model of the network bridge can contain, as one of its attributes, a copy of the table.

In a preferred embodiment of the invention, each attribute contained in a model type includes the following:

1. An attribute name that identifies the attribute.
2. An attribute type that defines the kind of attribute. Attribute types may include Boolean values, integers, counters, dates, text strings, and the like.
3. Attribute flags indicate how the attribute is to be manipulated. A memory flag indicates that the attribute is stored in memory. A database flag indicates that the attribute is maintained in the database of the virtual network machine. An external flag indicates that the attribute is maintained in the device being modeled. A polled flag indicates that the attribute's value should be periodically surveyed or polled by the device being modeled. The flags also indicate whether the attribute is readable or writable by the user.
4. Object identifier is the identifier used to access the attribute in the device. It is defined by the network management protocol used to access the device.

- 14 -

5. Attribute help string is a text string which contains a description of the defined attribute. When the user asks for help regarding this attribute, the text string appears on the user interface screen.

6. Attribute value is the value of the attribute.

The models used in the virtual network machine also include one or more inference handlers. An inference handler is a C++ object which performs a specified computation, decision, action or inference. The inference handlers collectively constitute the intelligence of the model. An individual inference handler is defined by the type of processing performed, the source or sources of the stimulus and the destination of the result. The result is an output of an inference handler and may include attribute changes, creation or destruction of models, alarms or any other valid output. The operation of the inference handler is initiated by a trigger, which is an event occurring in the virtual network machine. Triggers include attribute changes in the same model, attribute changes in another model, relation changes, events, model creation or destruction, and the like. Thus, each model includes inference handlers which perform specified functions upon the occurrence of predetermined events which trigger the inference handlers.

A schematic diagram of a simple model

- 15 -

configuration is shown in FIG. 3 to illustrate the concepts of the present invention. A device model 80 includes attributes 1 to  $x$  and inference handlers 1 to  $y$ . A device model 82 includes attributes 1 to  $u$  and inference handlers 1 to  $v$ . A connect relation 84 indicates that models 80 and 82 are connected in the physical network. A room model 86 includes attributes 1 to  $m$  and inference handlers 1 to  $n$ . A relation 88 indicates that model 80 is contained within room model 86, and a relation 90 indicates that model 82 is contained within room model 86. Each of the models and the model relations shown in FIG. 3 is implemented as a C++ object. It will be understood that a representation of an actual network would be much more complex than the configuration shown in FIG. 3.

As discussed above, the collection of models and model relations in the virtual network machine form a representation of the physical network being managed. The models represent not only the configuration of the network, but also represent its status on a dynamic basis. The status of the network and other information and data relating to the network is obtained by the models in a number of different ways. A primary technique for obtaining information from the network involves polling. At specified intervals, a model in the virtual network machine 12 requests the device communication manager 14 to poll the network device which corresponds to

- 16 -

the model. The device communication manager 14 converts the request to the necessary protocol for communicating with the network device. The network device returns the requested information to the device communication manager 14, which extracts the device information and forwards it to the virtual network machine 12 for updating one or more attributes in the model of the network device. The polling interval is specified individually for each model and corresponding network device, depending on the importance of the attribute, the frequency with which it is likely to change, and the like. The polling interval, in general, is a compromise between a desire that the models accurately reflect the present status of the network device and a desire to minimize network management traffic which could adversely impact normal network operation.

According to another technique for updating the information contained in the models, the network devices automatically transmit information to the network management system upon the occurrence of significant events without polling. This requires that the network devices be preprogrammed for such operation.

It will be understood that communication between a model and its corresponding network entity is possible only for certain types of devices such as bridges, card racks, hubs, etc. In other cases, the network entity being modeled is not capable of communicating its status to the network management



- 17 -

system. For example, models of buildings or rooms containing network devices and models of cables cannot communicate with the corresponding network entities. In this case, the status of the network entity is inferred by the model from information contained in models of other network devices. Since successful polling of a network device connected to a cable may indicate that the cable is functioning properly, the status of the cable can be inferred from information contained in a model of the attached network device. Similarly, the operational status of a room can be inferred from the operational status contained in models of the network devices located within the room. In order for a model to make such inferences, it is necessary for the model to obtain information from related models. In a function called a model watch, an attribute in one model is monitored or watched by one or more other models. A change in the watched attribute may trigger inference handlers in the watching models.

The virtual network machine also includes an event log, a statistics log and an alarm log. These logs permit information contained in the models to be organized and presented to the user and to be recorded in the database.

The event message provides specific information about events, including alarms that have occurred in a given model. The events pass from the model to an event log manager which records the event in the